

# What to Expect Your First Year in a Roundstone Captive

Your transition to our Captive should be a seamless experience before and after the effective date. Use this timeline to anticipate your journey and see how Roundstone is here to help — every step of the way.

## 30 Days Before the Effective Date



### THE FIRST THREE DAYS

We'll send a binding packet.



### THE FIRST WEEK

Your chosen Third Party Administrator (TPA), and Pharmacy Benefit Manager (PBM) will schedule implementation calls with you and your advisor.



### WITHIN TWO WEEKS

Hold open enrollment with employees.



### THE THIRD WEEK

Your TPA submits a full eligibility census to us.



### BEFORE THE POLICY EFFECTIVE DATE

Pay the first month of premium and collateral to Roundstone.



### WITHIN 60 DAYS OF THE POLICY EFFECTIVE DATE

The TPA will submit a finalized, signed Summary Plan Document (SPD).



**Important Note:** Your Human Resources Department and Advisor should gain access to your TPA's portal by the effective date to manage members and view claims information.



**Important Note:** All subsequent payments will be with the TPA.



**Important Note:** Once the SPD and all other requested binding items are received, we can issue a policy of insurance and begin paying Stop-Loss claims.

# What to Expect After the Effective Date



## 45 DAYS AFTER A QUARTER'S END

We'll issue a Quarterly Captive Performance Statement.



## WITHIN MONTH FOUR

Your Roundstone Reporting dashboard will be ready if your TPA and PBM are integrated.



## FROM MONTHS FOUR TO TWELVE

We'll review the Group's claim activity for cost savings opportunities.



## AFTER EIGHT TO NINE MONTHS

We'll hold a pre-renewal meeting with your advisor. You'll then have the option to renew stop-loss coverage before the next effective date.



**Important Note:** Claims reporting should be available directly from your TPA or via their portal.

**Please Note:** This timeline serves as a guideline. The exact timing of these events may vary depending on the requirements of the TPA or the employer's needs.

## Thanks for choosing to be part of the Roundstone Captive.

We look forward to building a strong and lasting partnership.

Talk to a Regional Practice Leader Today.  
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